

Docket No.  
1279-325-10014591

## Declaration For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole Inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SOLUBLE TETRAHEDRAL COMPOUNDS FOR USE IN ELECTROLUMINESCENT DEVICES**

the specification of which

(check one)

is attached hereto.

was filed on \_\_\_\_\_ as United States Application No. or PCT International

Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application in which priority is claimed.

### Prior Foreign Application(s)

Priority Not Claimed

(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

60/201,720  
(Application Serial No.)

May 3, 2000  
(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

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(Filing Date)

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

**(Filing Date)**

(Status)  
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor <b>Guillermo C. Bazan</b>	Date
Sole or first inventor's signature <i>Guillermo C. Bazan</i>	<i>May 3/2001</i>
Residence 1068 Cheltenham Road, Santa Barbara, CA 93105	
Citizenship Canada	
Post Office Address 1068 Cheltenham Road, Santa Barbara, CA 93105	

Full name of second inventor, if any <b>Shujuu Wang</b>	Date
Second inventor's signature	
Residence 199 Babbitt Road, Apt. 10A, Bedford Hills, NY 10507	
Citizenship China	
Post Office Address 199 Babbitt Road, Apt. 10A, Bedford Hills, NY 10507	

Full name of third inventor, if any <b>Matthew R. Robinson</b>	Date
Third inventor's signature <i>Matthew R. Robinson</i>	<i>5/3/01</i>
Residence 4711 Glenbrook St., Santa Barbara, CA 93110	
Citizenship USA	
Post Office Address 4711 Glenbrook St., Santa Barbara, CA 93110	

Full name of fourth inventor, if any	Date
Fourth inventor's signature	
Residence	
Citizenship	
Post Office Address	

MAY-03-01 12:38 FROM:  
Express Mail Label No.

ID:

PAGE 2/5  
Page 1 of 5Docket No.  
1279-325-10014591**Declaration For Patent Application****English Language Declaration**

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**SOLUBLE TETRAHEDRAL COMPOUNDS FOR USE IN ELECTROLUMINESCENT DEVICES**

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Application Number \_\_\_\_\_

and was amended on \_\_\_\_\_

(If applicable)

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## Prior Foreign Application(s)

## Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

<u>60/201,720</u>	<u>May 3, 2000</u>
(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, CFR Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u> (patented, pending, abandoned)
<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u> (patented, pending, abandoned)
<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status)</u> (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Page 3 of 3

Full name of sole or first inventor Guillermo C. Bazan	
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Date

Sole or first inventor's signature	
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Residence 1068 Cheltenham Road, Santa Barbara, CA 93105	
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Citizenship Canada	
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Post Office Address 1068 Cheltenham Road, Santa Barbara, CA 93105	
--	--

Full name of second inventor, if any Shujun Wang	
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Date

Second inventor's signature	<i>Shujun Wang</i>
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5-3-01

Residence 199 Babbitt Road, Apt. 10A, Bedford Hills, NY 10507	
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Citizenship China	
----------------------	--

Post Office Address 199 Babbitt Road, Apt. 10A, Bedford Hills, NY 10507	
--	--

Full name of third inventor, if any Matthew R. Robinson	
--	--

Date

Third inventor's signature	
----------------------------	--

Residence 4711 Glenbrook St., Santa Barbara, CA 93110	
--	--

Citizenship USA	
--------------------	--

Post Office Address 4711 Glenbrook St., Santa Barbara, CA 93110	
--	--

Full name of fourth inventor, if any	
--------------------------------------	--

Fourth inventor's signature	
-----------------------------	--

Date

Residence	
-----------	--

Citizenship	
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Post Office Address	
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PAGE 2/3

1279-325/10014591

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Guillermo C. Bazan et al.

Serial No.: N/A

Filed: Herewith

For: **SOLUBLE TETRAHEDRAL COMPOUNDS FOR USE IN ELECTROLUMINESCENT DEVICES**

**POWER OF ATTORNEY BY ASSIGNEE**  
**AND EXCLUSION OF INVENTOR(S) UNDER RULE 3.71**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

<u>Attorney</u>	<u>Registration No.</u>
Billy A. Robbins	18,313
Robert Berliner	20,121
M. John Carson	25,090
John M. May	26,200
Margaret Churchill	39,944
Terri Sale	45,066
Abraham Seidman	41,300

all attorneys and/or registered patent agents of the law firm of Fulbright & Jaworski LLP, 865 South Figueroa Street, Suite 2900, Los Angeles, California 90017 as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

An assignment of the entire interest in the above-identified subject application:

[ ] was recorded on \_\_\_\_\_ at reel/frame \_\_\_\_ / \_\_\_\_.

[ ] is submitted herewith for recording.

Please send all telephone calls and all correspondence to:

Margaret A. Churchill, Ph.D.  
Fulbright & Jaworski LLP  
865 South Figueroa Street, 29th Floor  
Los Angeles, California 90017

Telephone (213) 892-9206

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

SIGNATURE: Linda S. Stevenson

TYPED NAME: Linda S. Stevenson

TITLE: Manager, Patent Prosecution

ADDRESS: OFFICE OF TECHNOLOGY TRANSFER  
1111 Franklin Street, 5<sup>th</sup> Floor  
Oakland, CA 94607-5200

UC Case No. 2000-395-2